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APPLICATION NO.	F	ILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/600,447	7 10/30/2000		Andrea Polo Filisan	METR0260US	3352
24235	7590	11/28/2005	EXAMINER		
LEVINE &			SALTARELLI, DOMINIC D		
444 MADISON AVENUE 35TH FLOOR				ART UNIT	PAPER NUMBER
NEW YOR		0022	2611		
				DATE MAILED: 11/28/200	5

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)
	09/600,447	FILISAN, ANDREA POLO
Office Action Summary	Examiner	Art Unit
	Dominic D. Saltarelli	2611
The MAILING DATE of this communication ap Period for Reply	ppears on the cover sheet with the	e correspondence address
A SHORTENED STATUTORY PERIOD FOR REPI WHICHEVER IS LONGER, FROM THE MAILING [ - Extensions of time may be available under the provisions of 37 CFR 1 after SIX (6) MONTHS from the mailing date of this communication If NO period for reply is specified above, the maximum statutory period - Failure to reply within the set or extended period for reply will, by statu Any reply received by the Office later than three months after the maili earned patent term adjustment. See 37 CFR 1.704(b).	DATE OF THIS COMMUNICATION  .136(a). In no event, however, may a reply be divill apply and will expire SIX (6) MONTHS from the course the application to become ABANDO	ON. itimely filed om the mailing date of this communication. NED (35 U.S.C. § 133).
Status		
1)⊠ Responsive to communication(s) filed on 20 or 2a)⊠ This action is <b>FINAL</b> . 2b)□ The 3)□ Since this application is in condition for allowed closed in accordance with the practice under	is action is non-final. ance except for formal matters,	
Disposition of Claims		
4) ⊠ Claim(s) <u>1-53</u> is/are pending in the applicatio 4a) Of the above claim(s) is/are withdrest is/are allowed.  5) □ Claim(s) is/are allowed.  6) ⊠ Claim(s) <u>1-53</u> is/are rejected.  7) □ Claim(s) is/are objected to.  8) □ Claim(s) are subject to restriction and/	awn from consideration.	
Application Papers		
9) The specification is objected to by the Examir 10) The drawing(s) filed on is/are: a) according an applicant may not request that any objection to the Replacement drawing sheet(s) including the correction of the sheet of the shee	ccepted or b) objected to by the drawing(s) be held in abeyance. Section is required if the drawing(s) is	See 37 CFR 1.85(a). objected to. See 37 CFR 1.121(d).
Priority under 35 U.S.C. § 119	•	
12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of:  1. Certified copies of the priority document copies of the priority document copies of the certified copies of the priority document copies of the certified copies of the priority document copies of the certified copies of the priority document copies of the certified copies of the priority document copies of the certified copies of the priority document copies of the certified copies of the priority document copies.	nts have been received. nts have been received in Applic iority documents have been rece au (PCT Rule 17.2(a)).	eation No sived in this National Stage
Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/0 Paper No(s)/Mail Date	4)  Interview Summ Paper No(s)/Mai 8) 5)  Notice of Inform 6)  Other:	

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#### **DETAILED ACTION**

### Response to Arguments

1. Applicant's arguments with respect to claims 1 and 50 have been considered but are most in view of the new grounds of rejection.

## Claim Rejections - 35 USC § 112

- The following is a quotation of the second paragraph of 35 U.S.C. 112:
   The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 3. Claims 1 and 50 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

The invention is a system and corresponding method for the distribution of digital signals in a condominium or community environment. In claim 1, lines 7-8 read "... and the remainder of said digital signals, if any, being nonreserved digital signals", said limitation specifying the presence of nonreserved digital signals as an optional, non-essential limitation. However, in claim 1, lines 14-15 read "means for mixing said reserved and nonreserved signals on a distribution network", said limitation conversely specifies the presence of nonreserved signals as a non-optional, essential limitation.

Claim 50 recites the same ambiguity. In light of applicant's remarks submitted October 20, 2005 (see pages 20-21), the examiner's best understanding is that the presence of nonreserved signals is a non-optional, essential limitation.

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#### Claim Rejections - 35 USC § 103

- 4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 5. Claims 1-3, 5-9, 12-24, 31-36, and 50-53 are rejected under 35 U.S.C. 103(a) as being unpatentable over Nicholson (4,901,367, of record) in view of Hoarty et al. (5,485,197) [Hoarty].

Regarding claims 1 and 50, Nicholson discloses a system (fig. 1) for distribution to a community environment (col. 1, lines 19-40) of signals transmitted (from the different program sources 40, col. 4, lines 30-42) according to different standards (as shown in fig. 5, because different transmission types, local broadcasts and satellite, operate according to respective standards, and the standard used to transmit satellite broadcasts is not the same as the one used for transmitting local broadcasts), saidsignal being reserved signal which are reserved to predetermined signal sockets of said community environment (col. 1, lines 18-24, col. 2, lines 51-60, and col. 5, lines 2-25), comprising:

means for receiving the signals (fig. 5, program sources include local broadcasts, satellite programming, and pay-per-view programming, thus the receiving means include a cable and/or antenna receiver in addition to a satellite receiver);

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means for amplifying (fig. 2, amplifier) and converting the frequencies of said signals (RSPC 2 in fig. 3, which demodulate then remodulate signals from broadcast source onto personal channels, col. 4, lines 30-42);

means for mixing (fig. 2, combiner 21) said signals on a distribution network (fig. 2, distribution cable 3);

a plurality of signal sockets operatively connected to said means for mixing for received said signals (fig. 3, output of filter 23 which leads to TV 25);

means for converting the frequencies of the received reserved signals into personal channels (col. 4 line 67 – col. 5 line 13), each of said personal channels being reserved to a corresponding one of said predetermined signal sockets (users) and forbidden to the remaining sockets

means operatively connected to each of said predetermined sockets for allowing access to a corresponding personal channel (fig. 3, filter 23), said means for converting the frequencies of the received signals into personal channels being controlled through respective user control means (col. 5, lines 14-25).

Nicholson fails to disclose the signals are digital, wherein only a portion of said digital signals are reserved digital signals and the remaining portion are nonreserved digital signals.

In an analogous art, Hoarty teaches a video distribution system (col. 5, lines 15-45) wherein there is provided to subscribers a combined signal comprising a first portion of reserved digital signals and a second portion of

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nonreserved digital signals (the provided interactive services, called "interactive channels" and "virtual channels" are reserved digital signals while the conventional cable broadcast channels, or "non-interactive services" are the nonreserved digital signals, col. 7, line 11 – col. 8 line 49), providing the benefit of conventional broadcast programming to all subscribers in addition to interactive and on-demand services (col. 7, lines 11-35).

It would have been obvious at the time to a person of ordinary skill in the art to modify the system disclosed by Nicholson to include a combined signal comprising a first portion of the reserved digital signals and a second portion of nonreserved digital signals, as taught by Hoarty, for the benefit of providing conventional freely available broadcast programming to all subscribers in addition to the reserved digital signals.

Regarding claim 51, Nicholson and Hoarty disclose the method of claim 50, further comprising the step of operating a frequency selection in the frequency portion of each personal channel between the distribution network and a receiver associated to the respective personal channel (Nicholson teaches dynamically selecting a programming source for modulating onto the personal channel, col. 4, lines 30-42, and selection of a particular channel from a satellite or cable broadcast would be the aforementioned frequency selection. This step occurs after the mixing of the signals but before receiving the reserved signal when the user issues a command to change the source, the because what was

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on the personal channel previous to the user input command has been mixed in the mixer, but before the user can receive the selected source on their receiver).

Regarding claim 52, Nicholson and Hoarty disclose the method of claim 51, comprising the step of frequency filtering the frequency portions associated to the personal channels between the distribution network and a receiver (Nicholson, fig. 3, filter 23 filters the frequency portion corresponding to a personal channel).

Regarding claims 2, 6, and 53, Nicholson and Hoarty disclose the system and method of claims 1 and 51, wherein the digital signal being present in said personal channels are Quadrature Amplitude Modulation (QAM) signals (Hoarty, col. 8, lines 15-18).

Regarding claim 3, Nicholson and Hoarty disclose the system of claim 1, wherein the distribution network is composed of coaxial cable (Nicholson, fig. 2, feeder cable 3, col. 4 lines 30-36).

Regarding claims 5, 7, and 8, Nicholson and Hoarty disclose the system of claim 1, but fail to disclose the personal channel is 8 MHz wide and the personal channel is contained in a frequency band between 230-445 MHz.

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The assignment of bandwidth for a personal channel bandwidth and the frequencies at which the personal channel is resident are at the discretion of the designer but limited by the transmission medium, FCC regulations, and the amount of data to transmit from one point to another.

It would have been obvious at the time to a person of ordinary skill in the art to limit the personal channel to 8 MHz wide, as this would allow more personal channels to be carried over the distribution network (as Nicholson originally teaches using 12 MHz wide channels, as the bandwidth filter 23 used for isolating a personal channel is a 12 MHz bandwidth filter), and placing said personal channel in the 230-445 MHz range is beneficial for the lower attenuation experienced by signals placed in said range as opposed to placing them in higher frequency ranges.

Regarding claim 9, Nicholson and Hoarty disclose the system of claim 1, wherein the means for allowing access to said personal channels comprises means for filtering said personal channel located between the socket and the means for mixing (Nicholson, fig. 3 and fig. 4, filter 23, col. 26-37, wherein the output of the filter is the signal socket).

Regarding claim 12, Nicholson and Hoarty disclose the system of claim 1, wherein selection of the digital signal to be converted in said predetermined channel is performed by a return channel module operatively connected to said

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predetermined signal sockets for exchanging signals on a return channel (Nicholson, "user's assigned transmit channel", col. 5, lines 14-25).

Regarding claims 13-17, Nicholson and Hoarty disclose the system of claim 12, but fail to disclose the return channel is FSK, PSK, QPSK, or QAM modulated, or bi-directional under TDMA procedure.

The official notice taken that FSK, PSK, QPSK, and QAM modulation, and TDMA multiplexing are all notoriously well known in the art as methods for transmission of digital data, each having particular benefits associated with each, was not traversed by the applicant, and is thus taken as an admission of the facts presented.

Therefore, it would have been obvious at the time to a person of ordinary skill in the art to modify the system disclosed by Nicholson to modulate the return channel using FSK, PSK, QPSK, or QAM procedures or bi-directional under TDMA procedure, as each has particular advantages associated with each, such as the robustness (resistance to noise) of QPSK modulation, or the transmission efficiency (high bit rate) of QAM, or the bandwidth conservation of TDMA (which allows multiple digital channels to be multiplexed onto a single physical channel).

Regarding claim 18, Nicholson and Hoarty disclose the system of claim 12, but fail to disclose the return channel has a bandwidth of 128 KHz.

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The official notice taken that it is notoriously well known in the art to designate return channel bandwidth as 128 kHz bands, as this is a part of the DVB-RC (digital video broadcasting-return channel) standard was not traversed by the applicant and is thus taken as an admission of the fact presented.

Therefore, it would have been obvious at the time to a person of ordinary skill in the art to modify the system disclosed by Nicholson and Hamlin to limit the return channel bandwidth to 128 KHz so as to conform to the DVC-RC standard, an established and agreed upon standard for transmitting digital video, assuring hardware compliance among devices in a system, thus alleviating the need for specialized, custom equipment.

Regarding claim 19, Nicholson and Hoarty disclose the system of claim 12, but fail to disclose the return channel is between 41 and 46.5 MHz.

The official notice taken that it is notoriously well known to place return channels in the 5-50 MHz range, as frequencies beyond this range are utilized for higher bandwidth, downstream communications, was not traversed by the applicant, and is thus taken as and admission of the fact presented.

Therefore, it would have been obvious at the time to a person of ordinary skill in the art to modify the system disclosed by Nicholson and Hamlin to place the return channel between 41 and 46.5MHz, as it is conventional to place return channels in cable distribution networks in the 5-50 MHz range.

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Regarding claim 20, Nicholson and Hoarty disclose the system of claim 12, wherein the return channel uses the same coaxial cable of the distribution network of the system (Nicholson, col. 5, lines 14-25).

Regarding claim 21, Nicholson and Hoarty disclose the system of claim 12, wherein the return channel used by a user is not accessible to all other users of the system (Nicholson teaches return channels are assigned to particular users for exclusive use, col. 5, lines 20-23 and col. 1, lines 22-24).

Regarding claim 22, Nicholson and Hoarty disclose the system of claim 12, wherein the return channel is radio frequency irradiated (Nicholson teaches upstream information is radio frequency modulated signals transmitted over the user allocated transmit channel, col. 5, lines 51-55).

Regarding claim 23, Nicholson and Hoarty disclose the system of claim 1, wherein the means for converting the frequencies into personal channels comprises a transmodulator (Nicholson, RSPC 3 in fig. 3, col. 4 line 59 – col. 5 line 13).

Regarding claim 24, Nicholson and Hoarty disclose the system of claim 1, wherein Nicholson discloses a user terminal (fig. 4, office terminal 4) and an IRD receiver-decoder (fig. 4, TV receiver 25) are provided, which can be operated by

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a same remote control (fig. 4, remote control unit 33, controls all communications, both video which is received by receiver 25 and data which is receiver by modem 26 in the office terminal 4, col. 5 lines 14-25 and col. 6 lines 19-36).

Regarding claim 31, Nicholson and Hoarty disclose the system of claim 1, wherein said user control means (Nicholson, fig. 2, remote control 33) are adapted to generate digital upstream signals and convert them in frequency into the personal channel (Nicholson, col. 5, lines 51-55), and that second selection and handling means (Nicholson, transmit switch 14 in fig. 6) are provided for said digital signals in transmission (Nicholson teaches the selection means is used for communication of internal signals with outside sources, col. 6, lines 3-10), and means (Nicholson, col. 6, lines 3-10, CATV, SMATV, microwave or fiber optic link) for the transmission of said upstream signals from satellite or by cable.

Regarding claim 32, Nicholson and Hoarty disclose the system of claim 31, wherein the transmodulator means and said second selection means both operate respectively on downstream and upstream signals under SCPC procedure (wherein SCPC stands for single channel per carrier, and Nicholson teaches all upstream and downstream communications take place on user allocated channels, col. 5, lines 4-25, wherein the individual channels are specific to particular frequency bands).

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Regarding claim 33, Nicholson and Hoarty disclose the system of claim 31, wherein the upstream and downstream signals are simultaneously present in the same personal channel (Nicholson teaches the users personal channel is a 12 MHz band spit into a downstream band and an upstream band, thus upstream and downstream signals are simultaneously present in the same personal channel, col. 1, lines 22-27).

Regarding claim 34, Nicholson and Hoarty disclose the system of claim 33, wherein the upstream and downstream signals occupy frequency bands which do not overlap (Nicholson teaches upstream and downstream communication occur on simultaneously on two distinct TV channels, col. 1, lines 22-24).

Regarding claim 35, Nicholson and Hoarty disclose the system of claim 31, but fail to disclose the upstream and downstream signals are not simultaneously present in the same personal channel.

The official notice taken that it is notoriously well known in to art to define channels using TDMA, as TDMA conserves bandwidth, was not traversed by the applicant, and is thus taken as an admission of the facts presented.

Therefore, it would have been obvious at the time to a person of ordinary skill in the art to modify the system disclosed by Nicholson and Hoarty to use the

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personal channel un TDMA procedure, wherein under TDMA the upstream and downstream signals are not simultaneously present in the same personal channel, as TDMA is an effective means to increase the number of channels available on a given bandwidth, more efficiently utilizing said bandwidth.

Regarding claim 36, Nicholson and Hoarty disclose the system of claim 32, but fail to disclose the selection means and selection and handling means are housed in the same container.

The official notice taken that placement of physical devices in the same physical container is a convenient placement of hardware, as it is compact, and thus conserves space, was not traversed by the applicant, and is thus taken as an admission of the facts presented.

Therefore, it would have been obvious to a person of ordinary skill in the art to modify the system of Nicholson and Hoarty to place the first and second selection means in the same container for convenience and space conservation.

6. Claim 4 is rejected under 35 U.S.C. 103(a) as being unpatentable over Nicholson and Hoarty as applied to claim 1 above, and further in view of Macdonald et al. (5,835,128, of record) [Macdonald].

Regarding claim 4, Nicholson and Hoarty disclose the system of claim 1, but fail to disclose the distribution network comprises MMDS or LMDS networks.

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In an analogous art, Macdonald teaches a video distribution system wherein video signals are redistributed via wireless MMDS or LMDS networks (col. 4, lines 5-18), wherein wireless video distribution is free from geographic limitations and do not require any special medium for transmission of signals.

It would have been obvious at the time to a person of ordinary skill in the art to modify the system disclosed by Nicholson and Hoarty to utilize MMDS or LMDS networks for the distribution of information signals, as taught by Macdonald, for the benefit of free distribution of signals without regard to geographic limitations and without relying on costly cables or wiring which is subject to wear and breakage.

7. Claims 10, 11, and 49 are rejected under 35 U.S.C. 103(a) as being unpatentable over Nicholson and Hoarty as applied to claim 1 above, and further in view of Dufresne et al. (4,982,440, of record) [Dufresne].

Regarding claims 10, 11, and 49, Nicholson and Hoarty disclose the system of claim 1, wherein the system includes filtering means (fig. 3, bandwidth filter 23) and personal channels (col. 5, lines 4-6), but fail to disclose the filtering means includes a band stop filter to adapted to eliminate reception of personal channels by a receiver in parallel with a channel pass filter adapted to let a personal channel through to a single user, said means adapted to prevent the passage of signals generated inside a further distribution network associated to a signal socket.

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In an analogous art, Dufresne teaches a video distribution system (fig. 3) wherein particular downstream information is routed through a first filter in the downstream direction (fig. 4, filter 13, col. 7, lines 40-50) and is connected in parallel with a second filter (fig. 4, filter 16) for preventing undesired upstream noise (col. 7 line 60 – col. 8 line 12).

It would have been obvious at the time to a person of ordinary skill in the art to modify the system disclosed by Nicholson and Hoarty to include in the filtering means parallel filters, one for band pass functionality and the other for band rejection functionality, as taught by Dufresne, wherein the first filter is adapted to let a personal channel through to a single user, as it's function is to allow downstream signals to pass through while blocking upstream signals, and the second filter is adapted to eliminate the reception of personal channels as it blocks all downstream signals in addition to blocking upstream noise. The benefit of this arrangement is to selectively allow for the reception of a particular user channel in the downstream direction while also blocking any upstream noise, wherein upstream noise by nature would include locally generated signals generated inside a further distribution network that do not belong on the upstream path, such as a home LAN.

8. Claims 25-30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Nicholson and Hoarty as applied to claim 1 above, and further in view of Hamlin (5,574,964, of record).

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Regarding claim 25, Nicholson and Hoarty disclose the system of claim 1, but fail to disclose a single transmodulator device comprises two or more means for frequency converting one or more of the received digital signals into personal channels.

In an analogous art, Hamlin teaches placing the components for receiving and remodulating signals of different transmission formats into a sole transmodulator device (fig. 2 contains the demodulation and remodulation devices all within converter 34, col. 3, lines 24-54), for an economic means to transmodulate multiple received signals in a modular fashion (only the demodulation portions need to be added when upgrading the system, col. 3, lines 47-54).

It would have been obvious at the time to a person of ordinary skill in the art to modify the system disclosed by Nicholson and Hoarty to place the plural selection means within a single transmodulator device, as taught by Hamlin, for the benefit of maintaining the modularity of the system in an economic fashion (only the receiving and demodulation portions need to be added to expand the system, output hardware is shared and does not need to be duplicated when expanding the system).

Regarding claims 26 and 27, Nicholson, Hoarty, and Hamlin disclose the system of claim 25, wherein said transmodulator device comprises plural tuner means adapted to perform the selection of reserved digital signals within at least

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two frequency ranges (Nicholson, fig. 3, tuner 16, wherein there is one tuner per customer as there is one RSPC per customer, col. 4, lines 30-42), and plural demodulation means adapted to demodulate at least two of said digital signals (Nicholson, fig. 3, demodulator 17, wherein there is one demodulator per customer as there is one RSPC per customer, col. 4, lines 30-42) transmitted with different standards (Hamlin, col. 3, lines 3-12).

Regarding claim 28, Nicholson, Hoarty, and Hamlin disclose the system of claim 26, wherein said transmodulator device includes a switch (Hamlin, fig. 2, input to remodulator 104) adapted for receiving the digital signals coming from the demodulators.

Regarding claims 29 and 30, Nicholson, Hoarty, and Hamlin disclose the system of claim 28, wherein the transmodulator comprises a modulator (Hamlin, fig. 2, remodulator 104) for remodulating the output of said switch and a converter (also part of remodulator 104, prior to output from output interface 59, Hamlin, fig. 2) for converting the frequencies of the final output into a predetermined channel (Hamlin, col. 3, lines 24-54).

9. Claims 37-48 are rejected under 35 U.S.C. 103(a) as being unpatentable over Nicholson and Hoarty as applied to claim 1 above, and further in view of Saward (5,537,473, of record) and Diehl et al. (5,835,864, of record) [Diehl].

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Regarding claim 37, Nicholson and Hoarty disclose the system of claim 1, but fails to disclose the user control means (office terminal 4 in fig. 4 is under control of remote control 33) comprise a receiver adapted to perform an access function to a plurality of conditioned access services by reading the information contained in a smart card, and that said information contained in said smart card controls the means for converting the frequencies of the received reserved digital signals in the personal channel.

In an analogous art, Saward discloses utilizing a smart card to control a receiver in allowing said receiver to receive conditional services by reading information stored in said smart card (col. 3, lines 1-30), providing a highly secure means by which customers may receive conditional access programming.

It would have been obvious at the time to a person of ordinary skill in the art to modify the system disclosed by Nicholson and Hoarty to include accessing a plurality of conditional access services by reading information contained in a smart card, as taught by Saward, for the benefit of providing a highly secure means by which customers may receive conditional access programming, as smart cards are unique to individual users and highly resistant to tampering.

Nicholson, Hoarty, and Saward fail to disclose said information contained in said smart card further controls the means for frequency converting received reserved digital signals in the personal channel.

In an analogous art, Diehl teaches using information stored on a smart card (in EEPROM memory, col. 3, lines 3-6) to program frequency conversion

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means (col. 2 line 49 – col. 3 line 11), for the benefit of easily and dynamically programming receiver equipment according to the desired configuration of the users (col. 1, lines 50-62).

It would have been obvious at the time to a person of ordinary skill in the art to modify the system disclosed by Nicholson, Hoarty, and Saward to include controlling the means for frequency converting received signals using information in said smart card, as taught by Diehl, for the benefit of easily and dynamically programming receiver equipment according to the desired configuration of the users, allowing the economic use of common receiver equipment at a plurality of sites.

Regarding claims 38-42, Nicholson, Hoarty, Saward, and Diehl disclose the system of claim 37, wherein said information contained in said smart card comprises information for tuning the transmodulator means and transponder preselection means (Diehl teaches the information includes channel map information for proper tuning, col. 2 line 66 – col. 3 line 11, which would include information for proper tuning when the service is satellite television, one of the signal sources as disclosed by Nicholson, as shown in fig. 2).

Regarding claim 43, Diehl additionally discloses the information stored on the smart card is for the purpose of dynamically programming common receiver equipment so that it may properly tune to designated channels depending on

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how the equipment is implemented (col. 2 line 49 – col. 3 line 11), and such a teaching also applies to programming a receiver with the personal channel of a particular user (Nicholson, user allocated frequencies, col. 1, lines 22-24, col. 4, lines 30-36, and col. 5, lines 20-23).

It would have been obvious at the time to a person of ordinary skill in the art to further modify the system disclosed by Nicholson, Hoarty, Saward, and Diehl to include in said information stored on said smart card, frequency information so said personal channel, as taught by Diehl, for the benefit of easily and dynamically programming receiver equipment according to the desired configuration of the users, allowing the economic use of common receiver equipment at a plurality of sites.

Regarding claim 44, Nicholson, Hoarty, Saward, and Diehl disclose the system of claim 37, wherein the selection means and the smart card contain respective electronic keys, whose congruence enable the operation of said system (Saward teaches the smart card includes decryption keys, which are provided to reception equipment for decrypting signals to enable reception, col. 3, lines 20-27).

Regarding claim 45, Saward further discloses a device in a receiver (descrambler control circuit 22 in fig.3) which writes data in a program memory of a microprocessor contained in the smart card ("off-air" update to stored

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information, col. 3, lines 20-30), which enables broadcasters to dynamically maintain the information used by customers for accessing services.

It would have been obvious at the time to a person of ordinary skill in the art to modify the system disclose by Nicholson, Hoarty, Saward, and Diehl to include a device in said control means for writing data in a program memory of a microprocessor contained in the smart card, as taught by Saward, for the benefit of enabling broadcasters to dynamically maintain the information used by customers for accessing services, such as for billing purposes and a convenient means by which customers may upgrade their service.

Regarding claim 46, Nicholson, Hoarty, Saward, and Diehl disclose the system of claim 48, wherein the program memory is and EEPROM type memory (Diehl, col. 3, lines 3-6).

Regarding claim 47, Nicholson, Hoarty, Saward, and Diehl disclose the system of claim 45, wherein the device for writing data in a program memory of a microprocessor contained in the smart card operates on data sent to the control means by a modem (Nicholson teaches data communications are carried out using modems, col. 5, lines 38-44).

Regarding claim 48, Nicholson, Hoarty, Saward, and Diehl disclose the system of claim 45, wherein the device for writing data in a program memory of a

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microprocessor contained in the smart card operates on data sent to the control means by means of the service information contained in the received digital signal (the information being written to the smart card is service information, as they are customer access rights, as taught by Saward, and channel map information, as taught by Diehl).

#### Conclusion

10. The following are suggested formats for either a Certificate of Mailing or Certificate of Transmission under 37 CFR 1.8(a). The certification may be included with all correspondence concerning this application or proceeding to establish a date of mailing or transmission under 37 CFR 1.8(a). Proper use of this procedure will result in such communication being considered as timely if the established date is within the required period for reply. The Certificate should be signed by the individual actually depositing or transmitting the correspondence or by an individual who, upon information and belief, expects the correspondence to be mailed or transmitted in the normal course of business by another no later than the date indicated.

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# **Certificate of Mailing**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:
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Please refer to 37 CFR 1.6(d) and 1.8(a)(2) for filing limitations concerning facsimile transmissions and mailing, respectively.

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Any inquiry concerning this communication or earlier communications from the examiner should be directed to Dominic D. Saltarelli whose telephone number is (571) 272-7302. The examiner can normally be reached on Monday - Friday 7:00am - 4:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Christopher Grant can be reached on (571) 272-7294. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Dominic Saltarelli Patent Examiner Art Unit 2611

DS

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